



Financial Assistance Award

DENALI COMMISSION
 510 "L" Street, Suite 410
 Anchorage, Alaska 99501
 (907) 271-1414 (phone)
 (907) 271-1415 (fax)
 www.denali.gov

Project Number	0136-DC-2004-I22
Project Title	State of Alaska-DHSS "Other Than Primary Care" Facilities
Performance Period	6-1-04 to 12-31-07
Authorizing Resolution	04-02

Recipient Organization & Address
 State of Alaska, Department of Health and Social Services
 PO Box 110601
 Juneau, AK 99811-0601
 Phone: (907) 465.3030
 Fax: (907) 465.3068

Authority
112 Stat 1854

CFDA Number
90.100

Denali Commission Finance Officer Certification

CEE

Recipient DUNS # 809386543-0000 TIN # 926001185

Cost Share Distribution Table

Accounting Code	Denali Commission	Other Contributors	Total
FY04 HRSA	\$7,438,000		\$7,438,000
Cost share from other sources		\$16,433,180	\$16,433,180
			\$0
			\$0
			\$0
Total	\$7,438,000	\$16,433,180	\$23,871,180

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- Special Award Conditions and Attachments
- Line Item Budget
- OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations
<http://www.whitehouse.gov/omb/circulars/a133/a133.html>

Administrative Requirements (check one)

- OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments
<http://www.whitehouse.gov/omb/circulars/a102/a102.html>
- OMB Circular A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations
<http://www.whitehouse.gov/omb/circulars/a110/a110.html>

Cost Principles (check one)

- OMB Circular A-87, Cost Principles for State, Local and Indian Tribal Governments
www.whitehouse.gov/omb/circulars/a087/a087-all.html
- OMB Circular A-122, Cost Principles for Nonprofit Organizations
www.whitehouse.gov/omb/circulars/a122/a122.html
- OMB Circular A-21, Cost Principles for Educational Institutions
<http://www.whitehouse.gov/omb/circulars/a021/a021.html>
- 48 CFR 31.2, Contracts with Commercial Organizations

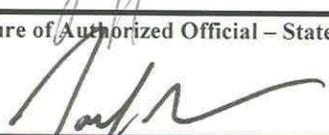
Signature of Authorized Official - Denali Commission



Typed Name and Title
Jeffrey B. Staser, Federal Co-Chair

Date
7-8-2004

Signature of Authorized Official - State of Alaska, DHSS



Typed Name and Title
Joel Gilbertson, Commissioner

Date
7/29/04

***Financial Assistance Award Conditions
Between the Denali Commission and
State of Alaska, Department of Health and Social Services (A-DHSS)
for State-wide Health Facilities Planning, Design and Construction
Project No.0136-DC-2004-I22***

1. Scope of Work

This is the second year health facility funding has been provided to A-DHSS to manage on behalf of the Commission. This includes grants management of health facilities that are in the planning/design stage only to full construction projects. During the 2nd Session of the 23rd Alaska Legislature, the Legislature authorized A-DHSS to manage up to \$10 Million in Commission funding for a mix of health facilities including “other than” primary care and also primary care clinics (reference RPL 06-4-0245). It is expected that the vast majority will be “other than” primary care projects.

Attached to this document is an approved list of projects with corresponding Commission funding. Once applicants successfully address required Commission policies including sustainability, cost share match and due diligence then the Commission shall notify A-DHSS that they have authority to execute a sub-project award with the applicants. Until such time Commission approval is provided, A-DHSS can draft award documents and enter project database information, but may not execute the sub-project award document.

As of June 15, 2004, the Commission has fully approved the South Peninsula Hospital proposal and the Petersburg Medical Center proposal. A-DHSS has authority to enter into sub-project agreement with these two organizations immediately upon execution of this Award.

Travel arrangements of A-DHSS staff as part of services rendered under this Award, as well as payment of travel, shall be the responsibility of the Commission. Before any travel by A-DHSS staff occurs, approval (e-mail or written format) from the Commission Project Officer is required, and if unavailable then from the Commission’s Chief of Staff.

All Commission funding is intended for use for the scope of work identified in the Award document only. In the event there is a balance of funding remaining after the full scope of work has been completed, the Denali Commission shall determine how the excess funds will be allocated.

2. Milestones

As there are multiple sub-projects, milestones will be identified specifically for each sub-project, when the database record is created by A-DHSS in accordance to Section 7, Reporting. An example of minimum project milestone reporting is shown below.

Milestone	Planned		Actual		Comments
	Start Date	End Date	Start Date	End Date	
Award Pending					
Planning					
Design					
Construction					

3. *Award Performance Period*

The Award performance period is June 1, 2004 through December 31, 2007. This is the period during which Award recipients can incur obligations or costs against this Award.

4. *Direct and Indirect Costs*

The cost principles of OMB Circular A-87 are applicable to this Award. There are no indirect costs eligible to A-DHSS under this Award. Administrative costs for A-DHSS management of this Award are included under Amendment No. 1 to Project 0101-DC-2003-114. Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

5. *Budget and Program Revisions*

The Administrative Circular A-102 applies to this Award. Please refer to the Administrative Circular for specific details on revisions to this Award. The Administrative Circular requires that A-DHSS will inform the Commission in writing (e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions.

With each sub-award, A-DHSS shall document a project cash flow timeline for both Commission and contributor funding. In general, the Commission supports utilizing all of the Commission funding up front if the applicants contributions are loans. However, if the applicant's contributions are from grants or their own cash reserves the cash flow shall be equally distributed between the various funding sources.

6. *Payments*

Payments under this Award will be made through the U.S. Department of Treasury's Automated Standard Application for Payment (ASAP) system. The ASAP system is the Commission's mechanism for requesting and delivering Federal funds to Award recipients. A-DHSS must be registered with the ASAP program in order to make draw downs. Please contact the Commission's Program Manager or Finance Manager for

further information about registering with the ASAP program. Payments shall be made in accordance with Administrative Circular A-102. **No interest will be accrued on these funds.**

7. *Reporting*

Three forms of project reporting are required under this Award, listed below. A-DHSS shall submit reports using the Denali Commission's on-line Project Database System, available at www.denali.gov. If there are technical limitations which may prevent the recipient from meeting this requirement, please contact the Program Manager listed in this Award.

- a. **Progress Reports** shall be submitted on a quarterly basis. The first reporting period is July 1, 2004 to September 30, 2004, and each quarter thereafter. Reports are due within 30 days of the end of the reporting period. Progress reports shall include the following:
 - i. Total project funding, including both Denali Commission funding and other project funding sources.
 - ii. The total project expenditures for the project as of the end of the reporting period, including both Denali Commission and other funding sources.
 - iii. Update schedule and milestone information as identified in the Scope of Work
 - iv. Narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.
 - v. **Construction Projects** Photographic documentation of project progress shall be provided with the progress reports for active construction projects. The photo documentation shall include a minimum of three, dated photos per reporting period such that a complete record of the construction is maintained over time, from "before," showing the situation before the start of construction, to "during" showing work proceeding on the project, and "after" to show the finished project. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.
 - vi. **Non-Construction Projects** For minor repair and renovation projects or other non-construction projects, pictures should be provided of before and after, or photos that are representative of the funded activity, to the extent possible. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.
- b. **Wage and Residency Reports** (Construction Projects only) shall be submitted on an annual basis using the Denali Commission On-line Project Database. The reporting period is January 1st – December 31st of each year. Reports are due within 30 days of

the end of the reporting period. The Wage and Residency Report shall include the following information by for each construction project

- i. Total number of Local Residents employed during the year and their total payroll earnings.
 - ii. Total number of Non-Local Alaska Residents employed during the year and their total payroll earnings.
 - iii. Total number of Non-Alaska Residents employed during the year and their total payroll earnings.
- c. **Federal Single Audits** shall be submitted annually, when required. In accordance with OMB Circular A-133, which requires [subpart 200] “Non-Federal entities that expend \$500,000 or more in a year in Federal Awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part. [subpart 205] The determination of when an Award is expended should be based on when the activity related to the award occurs.”

Recipients shall also submit single audits to the Federal Clearinghouse designated by OMB. Information can be found on the following web-site:

<http://harvester.census.gov/sac/>

8. *Project Close-Out*

The project close-out shall be completed within 90 days of the end of the Award performance period or within 90 days of the completion of the project, whichever is earlier. Recipients must also draw down any remaining funds for expenditures incurred under this Award during this 90-day period.

The Project Close-out report shall be submitted on-line through the Denali Commission’s on-line Project Database System, available at www.denali.gov. The project close out will require the recipient to submit the following information:

- a. Final data for each item listed in paragraph 7(a) “Progress Reports”
- b. Final project expenditures itemized by the following categories: planning & design; materials & equipment; freight; labor; project administration/overhead and other expenses.
- c. Acknowledgement of support: For all construction projects (including equipment installation) that exceed \$50,000 in total project costs, the Award recipient shall display a sign that acknowledges the Government’s support for the project(s) developed under this Award. The Commission will provide an appropriate sign. The Award recipient (A-DHSS) must request an indoor and/or an outdoor sign from the Commission office. Pickup or delivery of the sign can be arranged at that time.
- d. Acknowledgement of support: For all non-construction projects, the Award recipient shall include an acknowledgement of the Government’s support for the project(s) developed under this Award. The format for acknowledgement of the Government’s

support for non-construction awards will vary with each award and must be agreed upon between the Award recipient and the Denali Commission Project Manager. Costs associated with this requirement shall be paid out of the project funding received by the Award recipient from the Denali Commission.

9. Public Policy Laws and Assurances

Award Recipients are required to comply with the public policy laws and assurances on Standard Forms SF 424b (non-construction projects) or SF 424d (construction projects). This form must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

10. Non-Compliance with Award Conditions

Recipients not in compliance with the terms and conditions of this Financial Assistance Award will be notified by the Denali Commission. The Denali Commission will work with the recipient to identify the steps necessary to bring them back into compliance, and will establish an appropriate time frame for the corrections to be made. If the corrections have not been made by the deadline, the Denali Commission reserves the right to either suspend or unilaterally terminate the Financial Assistance Award for non-performance.

11. Program Manager, Financial Manager & Other Contact Information

Denali Commission	Department of Health and Social Services
<p>Joel Neimeyer, P.E. (phone) 907.271.1459 e-mail jneimeyer@denali.gov or Tessa Rinner (phone) 907.271.1624 e-mail trinner@denali.gov or (for Domestic Violence Facilities) Denali Daniels (phone) 907.271.1189 e-mail ddaniels@denali.gov Denali Commission 510 L Street, Suite 410 Anchorage, AK 99501 Fax – 907-271-1415</p>	<p>Arnold Liebelt Finance & Management Services, Facilities Section PO Box 110650 Juneau, AK 99811-0650 (phone) (907) 465-1872 (fax) (907) 465-2607 e-mail Arnold.Liebelt@health.state.ak.us</p>

12. Changes to the Financial Assistance Award

Changes can take two forms.

Amendments shall be identified by sequential number followed by the Denali Commission project number (i.e. Amendment No. 2 – Project # 0001-DC-1999-11). The Commission Federal Co-Chair and the designated representative for A-DHSS shall execute these Amendments. These documents (in Financial Assistance Award format with attached Award Conditions) define the changes to the scope of work, project schedule and estimated costs for each specific project undertaken. Amendments shall be used for changes in Award funding, major changes in scope of work and changes to Award performance period.

Addendums to Financial Assistance Award are in letter format from the designated Project Officer for the Commission to the designated Project Officer for A-DHSS. Addendums shall be identified by sequential letter followed by the Denali Commission project number (i.e. Addendum No. B – Project # 0001-DC-1999-11). These Addendums shall be executed by the concurrence of the Commission Project Officer and approval by the Commission Chief of Staff. In general, Addendums are used for small changes in project scope.

Attachment to Project No. 0136-DC-2004-I22 July 2004

Community/Organization Name	Project Type	Funding from the Denali Commission	Total Project funding	Comments
Family Centered Services - Fairbanks	Behavioral Health	\$500,000	\$2,509,000	
Fairbanks Community Mental Health Center	Behavioral Health	\$1,000,000	\$6,997,500	
SEARHC - Ravens Way	Behavioral Health	\$262,922	\$718,100	An additional \$96,128 has been obligated under Project 0101-DC-2003-114
Bartlett Regional Hospital	Behavioral Health	\$1,480,590	\$2,961,180	
Petersburg Medical Center	Hospital	\$123,750	\$247,500	
South Peninsula Hospital	Hospital	\$882,738	\$3,441,602	An additional \$44,413 has been obligated under Project 0101-DC-2003-114
Palmer - Alaska Family Resource Center	Domestic Violence Shelter	\$100,000	\$700,000	
Fairbanks - Interior Alaska Center for Non-Violent Living	Domestic Violence Shelter	\$1,500,000	\$3,000,000	
Dillingham - Safe & Fear-Free Environment, Inc.	Domestic Violence Shelter	\$130,000	\$193,108	
North Slope Borough - Arctic Women in Crisis	Domestic Violence Shelter	\$788,000	\$1,318,190	Includes \$88,000 for repairs as well as \$1,230,190 for expansion/renovation
New Stuyahok Traditional Council	Domestic Violence Shelter/Family Resource Center	\$670,000	\$1,785,000	
	Total	\$7,438,000	\$23,871,180	