Financial Assistance Award

DENALI COMMISSION
510 "L" Street, Suite 410
Anchorage, Alaska 99501
(907) 271-1414

Project Number - 0043-DC-2001-I23

Denali Commission Accounting Code		
95670000		$ 200,000
Total Commission Funding		$ 200,000
Funds Available & Apportioned by OMB		CEE

Recipient Name & Address
Southeast Alaska Regional Health Consortium
222 Tongass Drive
Sitka, AK 99835

Phone (907) 966-8731
Fax (907) 966-8751

Cost Share Distribution

	Denali Commission	Other contributors	Totals
Totals	$ 200,000	$37,000	$ 237,000

Authority	**Project Title**	**Award Performance Period**
112 Stat 1854	Angoon Health Center	From: April 1, 2001 To: March 31, 2005

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- ☐ EDA Standard Terms and Conditions Public Works and Implementations Construction Components, dated 3/99
- X Special Award Conditions and Attachments
- ☐ Line Item Budget
- ☐ 15 CFR 24, Uniform Admin Requirements for Grants/Cooperative Agreements to State and Local Governments (www.access.gpo.gov/nara/cfr/waisidx_99/15cfr24_99.html)
- ☐ OMB Circular A-87, Cost Principles for State and Local Governments and Indian Tribal Governments (www.whitehouse.gov/OMB/circulars/a087/a087-all.html)
- X OMB Circular A-133, Audits of States, Local Governments and Non-Profit Organizations (www.whitehouse.gov/OMB/circulars/a133/a133.html)
- X 15 CFR, Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Nonprofit, and Commercial Organizations (www.access.gpo.gov/nara/cfr/waisidx_99/15cfr14_99.html)
- ☐ OMB Circular A-122, Cost Principles for Nonprofit Organizations (www.whitehouse.gov/OMB/circulars/a122/a122.html)
- ☐ OMB Circular A-21, Cost Principles for Educational Institutions (www.whitehouse.gov/OMB/circulars/a021/a021.html)
- ☐ EDA Standard Terms and Conditions Capacity Building Programs
- ☐ Department of Commerce Financial Assistance Standard Terms and Conditions, dated 10/98

Signature of Authorized Official - Denali Commission	**Typed Name and Title**	**Date**
Jeff Staser	**Jeffrey B. Staser, Federal Co-Chair**	7/20/01
Signature of Authorized Official – SEARHC	**Typed Name and Title**	**Date**
Kenneth Brewer	**Ken Brewer, President**	8-3-01

Rev: 01/01

Conditions to the Financial Assistance Award
Project No. 0043-DC-2001-I23
Project Title: Angoon Health Center
Project Recipient: Southeast Alaska Regional Health Consortium (SEARHC)

1. The scope of work for this Financial Assistance Award is: to hire an A/E firm to provide construction documents for a new 6,400-square foot primary care facility at Angoon, Alaska. At the completion of this project SEARHC should be in position to construct the Angoon Health Center, once capital construction funding is obtained.

   Total Commission funding to SEARHC is $200,000. Total project funding is $237,000. The estimated costs for this Award follow.

Item No.	Description	Estimated Cost	Comments
1	Soils Investigation	$16,000	Costs include SEARHC project administration fees for the Denali Commission portion of the project funding (6.5% "flow through" Federal program rate as identified by the US Department of Health and Social Services).
2	A/E Design Services	$221,000	
	TOTAL	$237,000	$37,000 of the total project cost is a contribution from SEARHC.

   All Commission funding is intended for use for the scope of work specified above only. No interest will be accrued on these funds.

   In the event there is a balance of funding after the full scope of work is completed, then the Commission (in consultation with SEARHC) will determine how the excess funds will be allocated. The final decision on how excess funds are used is a Commission decision, and may include withdrawing excess funds for reallocation to other Commission projects. SEARHC will return any unexpended project funds (based upon pro rata project contributions) to the Commission at the end of the one-year project warranty period. In the event the project cannot be initiated for any reason; the Commission reserves the right to cancel the project.

2. The Project Officer for SEARHC is Mr. Dennis Heller and for the Denali Commission Mr. Joel Neimeyer.

3. SEARHC shall submit written progress reports to the Commission Project Officer quarterly (March/June/September/December). The reports are due within 30 calendar days of the end of the quarter. SEARHC (through the use of Interim Reports) will inform the Commission at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions. This will allow both SEARHC and the Commission to pursue supplemental financing on a timely basis, or reduce the project scope to fall within the Commission project financing

capabilities. Quarterly progress reports shall include a narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.

SEARHC shall report annually the percentage of total funds (from the Commission and other sources) received used for planning, design and construction of rural primary care facilities. In addition, this annual report will include a breakdown on how these funds were used: force account construction (including local labor wages paid, rural Alaska wages paid, and total project wages paid), and construction contracting.

A Labor Type, Residence, and Wage Report shall be submitted to the Commission Project Officer at a minimum of two times annually. At a minimum, these two reports must be on or near August 1st and December 1st each year to correspond with the Alaska construction season. Reports may be submitted more often. A Labor Type, Residence, and Wage Report must include the following information by construction project for any person employed on the construction of that project: position, place of primary residence, first check date, last check date, rate of pay per hour, payroll earnings from inception of project to date. Personal or confidential information such as Social Security numbers, names of individuals, or other information that would identify an individual should not be included within this report.

In addition SEARHC shall provide photo documentation of project development and construction. A minimum of three photos shall be taken for each quarterly report in which construction activity occurs. Photo documentation shall be supplied in the form of photo quality 3x5 prints and a negative for each picture submitted and/or print quality electronic photos (digital images). A short description of the activity and names of those in the photos shall also be provided.

These quarterly, bi-annual and annual progress reports shall be sent to:

Denali Commission
510 "L" Street, Suite 410
Anchorage, AK 99501

The Commission reserves the right, at a future date, to direct SEARHC to provide the progress reports in electronic format for posting on the Commission web page.

4. Two forms of project financial reports are required.

   A. Project financial status reports shall be submitted to the Commission Project Officer quarterly (included as part of the quarterly progress reports). For each project that

includes funding from the Denali Commission, the quarterly financial status report shall show the following:

i. the total project budget
ii. the total amount of Denali Commission funds committed to the project
iii. the total project expenditures as of the end of the most recent quarter
iv. the total expenditure of Denali Commission funds for the project as of the end of the most recent quarter
v. the percentage of expenditures to the total budget; and
vi. a project performance analysis on project line items, as defined by SEARHC, showing budget costs compared to actual expenditures and obligations to date versus work performed to date (for each line item).

B. A final Financial Status Report (Standard Form 269 – www.whitehouse.gov/OMB/grants/index.html#forms) shall be submitted to the Commission Project Officer within 90 days after the end of the Award Performance Period. If the Period is longer then one year, or if the Agreement is revised to extend the Award Performance Period beyond one year, SEARHC must annually submit a completed Standard Form 269 within 90 days after the end of each anniversary of the award date.

5. To receive payment, SEARHC must submit a "Request for Advance or Reimbursement", Standard Form-270 (www.whitehouse.gov/OMB/grants/index.html#forms), to the Commission Project Officer. It is expected that SEARHC will forecast by letter or spreadsheet format, Commission funds or working capital advances needed on a monthly (or as needed) basis for the project. This does not preclude more frequent Commission payments, then monthly, given project specific needs. The Commission reserves the right to prohibit further payments to SEARHC, at any time, if discrepancies, until such time that the discrepancies are resolved to the satisfaction of the Commission.

6. To the maximum extent feasible, considering applicable laws, SEARHC shall accomplish the work of this Agreement utilizing local labor and small, minority owned and disadvantaged businesses.

7. No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

8. Based upon proposed Federal funding for this project, project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required. SEARHC shall address all potential environmental concerns (specific and cumulative effects) associated with the projects, identified in this Agreement, in consultation with applicable Federal, State, and local authorities. If the scope of work is changed in the future, then SEARHC will revisit

the project level environmental reviews to determine if potential environmental concerns must be further addressed. In the interest of streamlining the project level environmental reviews and avoid duplication of effort, any other Federal environmental review process carried out by SEARHC and approved by other Federal agencies shall meet the intent of this paragraph.

9. Changes to the Financial Assistance Award can take two forms.

   Amendments shall be identified by sequential number followed by the Denali Commission project number (i.e. Amendment No. 2 – Project # 0001-DC-1999-I1). The Commission Federal Co-Chair and the designated representative for the SEARHC shall execute these Amendments. These documents (in Financial Assistance Award format with attached Award Conditions) define the changes to the scope of work, project schedule and estimated costs for each specific project undertaken. In general, Amendments are used for changes in funding from the Commission and/or other sources to the total project amount.

   Addendums to Financial Assistance Award are in letter format from the designated Project Officer for the Commission to the designated Project Officer for the SEARHC. Addendums shall be identified by sequential letter followed by the Denali Commission project number (i.e. Addendum No. B – Project # 0001-DC-1999-I1). These Addendums shall be executed by the concurrence of the Commission Project Officer and approval by the Commission Chief of Staff. In general, Addendums are used for small changes in project scope. These addendums shall include a scope of work, negotiated cost reimbursement, a method to measure completion of work, and lastly payment method.

10. Project Management Plan: SEARHC's in-house project management staff will manage the Angoon Health Center project. The soils investigation, design construction documents, NEPA and NHPA approval are scheduled for the summer/fall of 2001.