



**Financial Assistance
Award**

DENALI COMMISSION
510 L Street, Suite 410
Anchorage, Alaska 99501
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 www.denali.gov

Award Number	01499-00
Award Title	Rural Infrastructure R & R Accounts
Performance Period	July 1, 2016 through June 30, 2018

Authority 112 Stat 1854	CFDA Number 90.100	Recipient Organization & Address Alaska Community Foundation 3201 C St Ste 110 Anchorage, AK 99503-3961
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Denali Commission Finance Officer Certification	Phone: 907-334-6700 Recipient DUNS # 006460274 TIN # 92-0155067
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Cost Share Distribution Table

Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000	\$10,000.00		\$0.00		\$10,000.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
Total	\$10,000.00	\$0.00	\$0.00	\$0.00	\$10,000.00

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.

Signature of Authorized Official - Denali Commission Electronically Signed	Typed Name and Title Mr. Jay Farmwald Director of Programs	Date 09/22/2016
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AWARD ATTACHMENTS

Alaska Community Foundation

01499-00

1. Terms and Conditions
2. Attachment A

23 June 2016

**Financial Assistance Award Terms and Conditions
Between the Denali Commission and the Alaska Community Foundation (ACF)
For Rural Infrastructure R&R Accounts
Award No. 1499**

1. Project Summary

- a. Scope of Work: Development of a savings vehicle for owners of rural Alaska energy infrastructure specifically for long-term repair projects and coverage of the account fees incurred by those tank farm owners at ACF.
- b. Deliverables: Marketing materials promoting the savings vehicle and an annual report outlining the savings accumulated by each owner.
- c. Budget: The Commission is making \$10,000 available for the project via this Financial Assistance Award (FAA). This amount includes all direct, indirect, and pre-award costs (if any) authorized pursuant to 2 CFR 200.458. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission.
- d. Delivery Method: ACF staff will perform the work detailed in this Award.
- e. Performance Period The Period of Performance for this FAA is July 1, 2016 through June 30, 2018. In accordance with 2 CFR 200.309 Recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 14 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at www.denali.gov.

More detailed information on scope, deliverables, budget, project delivery method and/or management plan, and schedule are included in Attachment A dated June 23, 2016.

2. Project Reporting and Commission Site Visits

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions. The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at www.denali.gov/dcpdb.

Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of

Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

3. Payments

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

4. Modifications

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions to the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

5. Financial Management, Record Keeping, Internal Controls, and Audits

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

6. Direct and Indirect Costs

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs

must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

7. Sub-Awards and Contracts

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

8. Acknowledgement of Support

The Recipient shall include the Denali Commission as a financial contributor and project/program partner in all media correspondence related to all project(s) supported by the Denali Commission. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

9. Real and Personal Property

In accordance with 2 CFR 200.311, 313, and 316 the Denali Commission may require the Recipient to execute a security interest or other public notice of record to indicate that real or personal property acquired or improved, in whole or in part, with Federal funds is subject to a Federal interest. Any such requirements under this FAA, including post project reporting in accordance with 2 CFR 200.329, will be stipulated in the Special Provisions.

10. Conflict of Interest

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

11. Denali Commission Policies

Recipients may be required to comply with certain published Denali Commission policies. Any such requirements under this FAA will be stipulated in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information on individual policies.

12. Laws and Regulations

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are

summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

13. Other Provisions

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.
- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

14. Special Provisions

Progress Reports: Shall be submitted on a quarterly basis. The first reporting period is July 1, 2016 to September 30, 2016, and quarterly thereafter in accordance with the Commission's *Recipient Guidelines and Requirements*.

15. Program Manager, Financial Manager & Other Contact Information

Denali Commission	Alaska Community Foundation
Tom Wolf Senior Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1414 Fax: 907-271-1415 E-mail: twolf@denali.gov	Katie St. John Project Manager 3201 C St, Ste 110 Anchorage, Alaska 99503 Phone: 907-249-6617 Fax: 907-334-5780 E-mail: kstjohn@alaskacf.org
Janet Davis Grants Management Officer 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3036 Fax: 907-271-1415 E-mail: jdavis@denali.gov	Tor Daley Interim Chief Financial Officer 3201 C St, Ste 110 Anchorage, Alaska 99503 Phone: 907-334-6700 Fax: 907-334-5780 E-mail: CFO@alaskacf.org

**Financial Assistance Award Terms and Conditions - Attachment A
Between the Denali Commission and the Alaska Community Foundation (ACF)
For Rural Infrastructure R&R Accounts
Award No. 1499**

- a. Scope of Work: This award funds the development of a savings vehicle for owners of rural Alaska energy infrastructure specifically for long-term repair projects and coverage of the account fees incurred by those tank farm owners at ACF. These accounts will be modeled on ACF's Agency Funds, but they will be given a distinctive name.

ACF will also prepare marketing materials explaining these accounts.

- b. Deliverables:
- Marketing materials promoting the savings vehicle to be distributed to rural Alaska energy infrastructure owners and administrators
 - Annual reports outlining the savings accumulated by each owner
- c. Budget: Project budget and funding information is summarized in the table on page 2. The Commission is making \$10,000 available via this Financial Assistance Award (Award).
- d. Delivery Method: ACF staff will perform the work detailed in this Award.
- e. Schedule: The Period of Performance for this FAA is July 1, 2016 through June 30, 2018. ACF will provide an annual report to the Commission summarizing each calendar year.

<i>line</i>	ITEM	BUDGET	
		Amount	Note
1	Development of Marketing Materials	\$750	10 hours at \$75 per hour
2	Account Fees	\$8,000	Will cover approximately 16 accounts at \$500 each
3	Indirect Costs	\$1,250	
4	Total Cost	\$10,000.00	