



**Financial Assistance
Award**

DENALI COMMISSION
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Award Number	01493-00
Award Title	Assessment of Bulk Fuel Facilities in Noatak, Newtok, and Holy Cross
Performance Period	July 6, 2016 through September 30, 2017

Authority 112 Stat 1854	CFDA Number 90.100	Recipient Organization & Address Alaska Energy Authority 813 W Northern Lights Blvd Anchorage, AK 99503-2407 Phone: 907-771-3000 Recipient DUNS # 054072608 TIN # 926001185
Denali Commission Finance Officer Certification		

Cost Share Distribution Table

Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000AL	\$90,000.00		\$0.00		\$90,000.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
Total	\$90,000.00	\$0.00	\$0.00	\$0.00	\$90,000.00

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.

Signature of Authorized Official - Denali Commission Electronically Signed	Typed Name and Title Mr. Joel Neimeyer Federal Co-Chair	Date 09/01/2016
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AWARD ATTACHMENTS

Alaska Energy Authority

01493-00

1. Letter Reports FAA
2. Letter Reports FAA Attachment A

23 June 2016

**Financial Assistance Award Terms and Conditions
Between the Denali Commission and Alaska Energy Authority (AEA)
For Assessment of Bulk Fuel Facilities in Noatak, Newtok and Holy Cross
Award No. 1493**

1. Project Summary

- a. Scope of Work: Field Assessments of the bulk fuel storage facilities in Noatak, Newtok, and Holy Cross.
- b. Deliverables: Letter reports for each facility within the three communities detailing options for Commission investments with preliminary cost estimates.
- c. Budget: The Commission is making \$90,000 available for the project via this Financial Assistance Award (FAA). This amount includes all direct, indirect, and pre-award costs (if any) authorized pursuant to 2 CFR 200.458. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission consistent with 2 CFR 200.319.
- d. Delivery Method: AEA will subcontract the majority of the work under this Award.
- e. Performance Period The Period of Performance for this FAA is June 23, 2016 through August 31, 2016. In accordance with 2 CFR 200.309 Recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 14 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at www.denali.gov.

More detailed information on scope, deliverables, budget, funding, project delivery method and/or management plan, and schedule are included in Attachment A dated June 23, 2016.

2. Project Reporting and Commission Site Visits

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions. The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at www.denali.gov/dcpdb.

Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

3. Payments

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

4. Modifications

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions to the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

5. Financial Management, Record Keeping, Internal Controls, and Audits

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

6. Direct and Indirect Costs

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

7. Sub-Awards and Contracts

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

8. Acknowledgement of Support

The Recipient shall include the Denali Commission as a financial contributor and project/program partner in all media correspondence related to all project(s) supported by the Denali Commission. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

9. Real and Personal Property

In accordance with 2 CFR 200.311, 313, and 316 the Denali Commission may require the Recipient to execute a security interest or other public notice of record to indicate that real or personal property acquired or improved, in whole or in part, with Federal funds is subject to a Federal interest. Any such requirements under this FAA, including post project reporting in accordance with 2 CFR 200.329, will be stipulated in the Special Provisions.

10. Conflict of Interest

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

11. Denali Commission Policies

Recipients may be required to comply with certain published Denali Commission policies. Any such requirements under this FAA will be stipulated in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information on individual policies.

12. Laws and Regulations

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

13. Other Provisions

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.
- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

14. Special Provisions

Progress Reports: One report at the end of the performance period.

Pre-award Costs: Starting 23 June 2016

Advance Payments: Authorized

15. Program Manager, Financial Manager & Other Contact Information

Denali Commission	Alaska Energy Authority
Tom Wolf Senior Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-5232 Fax: 907-271-1415 E-mail: twolf@denali.gov	David Lockard Project Manager 813 W. Northern Lights Blvd. Anchorage, AK 99503 Phone: 907-771-3062 Fax: 907-771-3044 Email: dlockard@aidea.org
Janet Davis Grants Management Officer 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3036 Fax: 907-271-1415 E-mail: jdavis@denali.gov	Amy Adler Financial Contact 813 W. Northern Lights Blvd. Anchorage, AK 99503 Phone: (907) 771-3013 Fax: (907) 771-3044 E-mail: aadler@aidea.org

**Financial Assistance Award
Between the Denali Commission and Alaska Energy Authority
For Assessment of Bulk Fuel Facilities in Noatak, Newtok and Holy Cross
Award No. 1493**

- a. Scope of Work: Site visits by design contractors to evaluate the bulk fuel facilities included in the Summer 2015 Inventory of bulk fuel storage tank farms by the Commission and AEA for the communities of Noatak, Newtok, and Holy Cross. The purpose of the site visits is to develop options for FY2016 Commission funding, including maintenance & improvement of the existing facilities to extend their useful lives vs. complete replacement.
- b. Deliverables: Letter reports for each of the bulk fuel storage facilities in the three communities that include rough order of magnitude cost estimates.
- c. Budget and Funding: A budget estimate for the site visits and preparation of the letter reports is summarized in the table below. All work is being funded by the Denali Commission with a combination of prior year TAPL and Base funds.
- d. Delivery Method: Task order to a subcontractor.
- e. Schedule: The work shall be completed prior to August 31, 2016 so that the information can be considered during the allocation/prioritization of FY 2016 Commission funds for the Bulk Fuel Program.

BUDGET ESTIMATE

<i>Line</i>	Item	Amount	Notes
1	Travel Costs	\$6,000	3 trips @ \$2,000/trip
2	On-Site Assessments	\$13,500	3 trips @ 30 hrs per trip
3	Report Preparation	\$54,000	3 reports @ 120 hrs per report
4	Subtotal	\$73,500	
5	AEA Direct Costs	\$13,500	3 reports @ 30 hrs per report
6	Indirect Costs*	\$3,850	10% of first \$25,000 of line 4, + 10% of line 5
7	Total	\$90,850	
8	Rounded Total	\$90,000	

* AEA does not have an established Federal Indirect rate; use the 10% de-minimis rate in 2 CFR 200