



Financial Assistance Award

DENALI COMMISSION
510 "L" Street, Suite 410
Anchorage, Alaska 99501
(907) 271-1414

Project Number
0007-DC1999-15

Accounting Code
95X20095870000 95-67

Recipient Name
North Slope Borough

Recipient Address
PO Box 69
Barrow, AK 99723

Denali Commission Share of Cost
\$ 122,000
\$

Recipient Share of Cost
\$ 1,527,875
\$

Total Estimated Cost
\$ 2,285,701

Award Performance Period
From December 1, 1999 to November 30, 2003

Authority
112 Stat 1854

Project Title
Point Hope Interior Plumbing Upgrades

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- EDA Standard Terms and Conditions Public Works and Implementations Construction Components, dated 3/99
- Special Award Conditions and Attachments
- Line Item Budget
- 15 CFR 24, Uniform Admin Requirements for Grants/Cooperative Agreements to State and Local Governments
- OMB Circular A-87, Cost Principles for State and Local Governments and Indian Tribal Governments (<http://www.witehouse.gov/OMB/circulars/a087/a087-all.html>)
- OMB Circular A-133, Audits of States, Local Governments and Indian Tribal Governments (<http://www.witehouse.gov/OMB/circulars/a133/a133-all.html>)
- 15 CFR, Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Nonprofit, and Commercial Organizations
- OMB Circular A-122, Cost Principles for Nonprofit Organizations (<http://www.witehouse.gov/OMB/circulars/a122/a122-all.html>)
- OMB Circular A-21, Cost Principles for Educational Institutions (<http://www.witehouse.gov/OMB/circulars/a021/a021-all.html>)
- EDA Standard Terms and Conditions Capacity Building Programs
- Department of Commerce Financial Assistance Standard Terms and Conditions, dated 10/98
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Signature of Authorized Official - Denali Commission

Typed Name and Title

Jeffrey Staser, Federal Co-Chair

Date

12/17/99

Signature of Authorized Official - _____

Typed Name and Title

George N. Ahmaogak, Sr.
North Slope Borough Mayor

Date

5th January 2000

Award Conditions to the Financial Assistance Award
Project No. 0007-DC1999-15 – Point Hope Interior Plumbing Upgrades
North Slope Borough

Notes on completing this form: Paragraphs 4 and 7 regarding fund transfer and financial reporting should be customized to fit the funding needs of the recipient. Keep in mind the principle that the Federal Government holds its funding as long as possible without disrupting the recipient's capability of carrying out the project. In other words, the recipient should not hold onto project funding for long periods of time for the purpose of generating interest income.

The OMB Circulars identified in Paragraphs 5 and 6 may change depending upon the type of organization the recipient is (Federal agency, state agency, non-profit, municipality, etc.). In addition, indirect costs may be allowed depending upon if they were included in the grant request (Paragraph 5).

Significant project definition and documentation should come from the recipient and be attached to the Agreement. Without these recipient documents (Paragraph 9) it will be difficult for the Commission Project Officer to measure the success of the recipient in completing the proposed project or evaluating the progress with the quarterly reports.

1. The scope of work for this project includes:

- Provide and install plumbing for 10 units of the 57 originally requested. Priority to be established by the North Slope Borough.
- Connect aforementioned 10 units to the public sanitation system.

Total Commission funding for the *North Slope Borough* through this Agreement is \$122,000; total funding (including from other sources) is \$2,285,701. Commission funding is intended for use for the scope of work specified above only. In the event there is a balance of funding after the scope of work is completed, then the Commission will determine how the excess funds will be allocated. Shifting of costs between line items of the scope of work may be allowed with prior written approval from the Commission Project Officer.

2. The Project Officer for the *North Slope Borough* is JEANNE GORTZ and for the Denali Commission Sam Kito III.

3. The *North Slope Borough* shall submit written progress reports to the Commission Project Officer quarterly (January/March, April/June, etc.). The reports are due within 30 calendar days of the end of the quarter. Interim reports are required in the event of significant project events that may extend the project schedule and/or impact the project budget. Quarterly progress reports shall include a narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems. These progress reports shall be sent to:

Denali Commission
510 "L" Street, Suite 410

Anchorage, AK 99501.

The Commission reserves the right, at a future date, to direct the *North Slope Borough* to provide the progress reports in electronic format for posting on the Commission web page.

4. Two forms of project financial reports are required.
 - A. Project financial status reports shall be submitted to the Commission Project Officer quarterly (included as part of the quarterly progress reports). Included in the quarterly financial status reports shall be a project performance analysis on budgeted project line items, as defined by the *Recipient Name*, showing budget costs compared to actual expenditures and obligations to date versus work performed to date (for each line item).
 - B. A final Financial Status Report (Standard Form 269) shall be submitted to the Commission Project Officer within 90 days after the end of the Award Performance Period. If the Period is longer than one year, or if the Agreement is revised to extend the Award Performance Period beyond one year, the *North Slope Borough* must annually submit a completed Standard Form 269 within 90 days after the end of each anniversary of the award date.
5. The cost principles of OMB Circular A-87 are applicable to this award. Since there are no indirect costs included in the assistance award budget, they are not allowable under this Agreement.
6. The *North Slope Borough* agrees to comply with the requirements of OMB Circular A-133, "Audits of States, Local Governments and Non-Profit Organizations."
7. To receive payment, the *North Slope Borough* must submit a "Request for Advance or Reimbursement", Standard Form-270, to the Commission Project Officer. It is expected that the *North Slope Borough* will forecast by letter or spreadsheet format, Commission funds or working capital advances needed on a monthly (or as needed) basis for each project. This does not preclude more frequent Commission payments, then monthly, given project specific needs. The Commission Project Officer may review all payments to determine if payments made are justified and supported by appropriate records, invoices and other documentation. The Commission reserves the right to prohibit further payments to the *Recipient Name*, at any time, if discrepancies involving Commission funds arise, until such time that the discrepancies are resolved to the satisfaction of the Commission. Pay requests for *North Slope Borough* administrative costs shall be on a separate Standard Form – 270 (quarterly) from project pay requests.

7. To the maximum extent feasible, considering applicable laws, the *North Slope Borough* shall accomplish the work of this Agreement utilizing local labor and small, minority owned and disadvantaged businesses.
8. No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.
9. Attached to these Award Conditions are: *documents that give further definition of what the project is about: budgets, schedules, planning documents, maps, etc.*
10. Project budget revisions shall be in accordance with 15CFR24.30(c.iii.2). Written request by letter from the *North Slope Borough* Project Officer to the Commission Project Officer for concurrence is necessary for budget revision approval.